# Standard Operating Procedure Foreign Evaluation of PhD Thesis – FCS



### **EXAMS BRANCH**

Version: 1.0

### NATIONAL DEFENCE UNIVERSITY ISLAMABAD

This SOP contains 2 pages and has been prepared in line with the provisions of NDU Academic Regulations / HEC guidelines and will remain enforced till amended, cancelled or suspended. It shall be revised / amended after approval of any changes in the Academic Regulations or HEC guidelines or after the statutory bodies' decision concerning Foreign Evaluation of PhD Thesis or as and when considered necessary by the Competent Authority. No provision of this document shall supersede information / direction contained in the Academic Regulations / HEC guidelines or other superior documents on the subject.

## **Record of Approvals/Revisions**

Vor Approval		Effective						
Ver	Date	Date	Approving Authority	Revision Details				
1.0	7 Nov 2022	7 Nov 2022	President NDU	New SOP				
			<ul> <li>To be approved by the Academic Council subsequently</li> </ul>					
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### **List of Acronyms**

**ASRB** Advanced Studies and Research Board

**BOF** Board of Faculty

**BOS** Board of Studies

**DRC** Departmental Review Committee

FCS Faculty of Contemporary Studies

**HEC** Higher Education Commission

**HoD** Head of Department

NDU National Defence University

**QEC** Quality Enhancement Cell

**SOP** Standard Operating Procedure



#### SOP: PHD FOREIGN EVALUATION OF PHD THESIS

- 1. **General**. The evaluation of PhD thesis by Foreign Evaluators from technologically advanced countries is a prerequisite for conduct of Public Defence by the Scholar. As per NDU Academic Regulations and HEC Guidelines, evaluation of PhD thesis by two foreign experts is mandatory.
- 2. **Aim**. To laydown procedures for foreign evaluation of PhD thesis.
- 3. **Standing List of Foreign External Examiners**. Each Dept shall be responsible to maintain database containing updated and accurate information of foreign examiners. The names of foreign experts shall be suggested by HoDs from time to time and approved by ASRB on the recommendation of BOS and BOF as under:

Names of Foreign External Examiners										
Sr.	Country	Name	Last degree	Specialization	Area of interest	University		Contact number		

- 4. **Appointment of Foreign Evaluators.** HoD shall send a panel of at least 6 x external examiners after their consent in a sealed envelope to Exams Branch along with requirements specified in thesis submission SOP. While obtaining consent, Dept should send proper topic and time duration for evaluation of thesis to foreign evaluators before doctoral seminar.
- 5. **Approval of Foreign Examiners.** After confirmation by the Exams Branch that all prescribed requirements for thesis submission have been completed, it shall process the case for nomination of foreign evaluators by President NDU from the panel.
- 6. Exams branch shall share soft copies of the thesis with the foreign evaluators along with evaluation proforma with a request to complete evaluation within 4 6 weeks. Two additional weeks can be granted if required.
- 7. In case of regret or no reply from the appointed foreign evaluator, Exams Branch shall approach the next examiner marked 1<sup>st</sup> reserve by the President NDU, for evaluation of thesis and so on.
- 8. **Processing of Foreign Evaluation Reports.** On receipt of reports, Exams Branch shall share these along with evaluation proforma with HoD concerned for compliance of suggested amendments/ corrections (if any) in accordance with the guidelines given in the proforma as under:-

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Ser	Foreign Evaluator's Evaluation Category
a.	Acceptable/Pass
	Thesis (as it is) meets the required international standards for award of PhD Degree.
b.	Acceptable/Pass (with Minor Changes)
	Thesis meets the required international standards for award of PhD Degree after minor
	revisions (as given in the attached list).
C.	Acceptable with Major Changes)
	The candidate may be directed to incorporate the major changes suggested in the
	accompanying evaluation report. The thesis may be resubmitted for re-evaluation to the
	supervisor or the department committee (in three to six months).
d.	Not acceptable in Present Form
	Thesis does not meet the required International standard for award of PhD degree. The
	candidate may be directed to revise the thesis in the light of accompanying evaluation
	report. The thesis may be resubmitted for re-evaluation by the foreign referee
	preferable by the same one or as deemed appropriate by the department committee (in
	six to nine months).
e.	Failed /Rejected
	Thesis does not meet the required international Standards for award of PhD degree
	and therefore may be rejected. However, the University may consider asking the
	candidate to rewrite the entire thesis as suggested by the evaluator (in nine months to
	one year).

9. Consideration of Foreign Evaluation Reports by ASRB. On completion of process specified at para 8, HoD shall coordinate meeting of committee comprising of Dean FCS, Dir QEC, HoD concerned, Advisor and a rep of ISSRA (PhD), to formally consider foreign evaluation reports and compliance of the scholar, as endorsed by DRC, in detail on behalf of ASRB. If satisfied the Committee will submit its verdict to Exams Branch duly signed by all members, for presentation to ASRB for approval. ASRB may allow the Scholar to defend his/her thesis in public defense or otherwise as the case may be.

10.	This issues v	vith the	approval	of President,	NDU	and shall	come	into	force	with	immedi	ate
effect.							1 1					

Controller of Examinations (Brig Abdul Saboor Zahid (Retd))

Examinations. Branch

AMAB

Distribution:	
	(All Concerned)

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